U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

PATENT APPLICATION TRANSMITTAL LETTER

ATTORNEY DOCKET NUMBER: 10392-46001

Commissioner of Patents and Trademarks

Washington D.C. 20231

Transmitted herewith for filing is the patent application of

Inventor(s):

Steven M.H. WALLMAN

For: METHOD AND APPARATUS FOR ENABLING SMALLER INVESTORS OR OTHERS TO CREATE AND MANAGE A PORTFOLIO OF SECURITIES OR OTHER ASSETS OR LIABILITIES ON A COST EFFECTIVE BASIS

Enclosed are:

- 1. 49 sheets of specification 9, sheets of claims, and 1 sheet of abstract.
- 2. 13 sheet(s) of drawings.

The filing fee has been calculated as shown below:

	NUMBER FILED NUMBER EXTRA*			RATE (\$)	FEE (\$)
BASIC FEE		Mark Name		790.00	\$790.00
TOTAL CLAIMS	49	- 20 =	29	22.00	\$638.00
INDEPENDENT CLAIMS	4	- 3 =	1	82.00	\$82.00
MULTIPLE DEPENDENT CLAIM PRESENT				270.00	0
FEE FOR RECORDATION OF ASSIGNMENT				40.00	0
*Number extra must be zero or larger			TOTAL		\$1510.00
If applicant is a small entity under 37 C.F.R. §§ 1.9 SMALL ENTITY and 1.27, then divide total fee by 2, and enter amount here.					.00

- 3. The Office is authorized to charge the filing fee of \$1,510.00 to Deposit Account No. 11-0600. A duplicate copy of this paper is enclosed for that purpose.
- 4. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to the **Deposit Account No. 11-0600**.
 - A. Any additional filing fees required under 37 C.F.R. § 1.16.
 - B. Any patent appliation processing fees under 37 C.F.R. § 1.17.
- 5. Please direct all correspondence to Michael P. Fortkort at Kenyon & Kenyon, 1025 Connecticut Avenue, N.W., Suite 600, Washington, DC 20036, phone number (202) 429-1776.
- 6. The Applicant is: Mr. Steven MH Wallman. An executed Declaration and Power of Attorney will be filed at a later date.

Dated: 3 1 1 7

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